

Certification of Beneficial Owners for Legal Entity Customers

This form is required by federal regulations as a means to identify and document information for individuals who own and/or control a legal entity.

To help the government fight financial crime, federal regulation requires certain financial institutions to obtain, verify, and record information about the beneficial owners of legal entity customers. A legal entity includes a corporation, limited liability company, or other entity that is created by a filing of a public document with a Secretary of State or similar office, a general partnership, and any similar business entity formed in The United States of America or a foreign country. A legal entity does not include sole proprietorships, unincorporated associations, or natural persons opening accounts on their own behalf.

Please provide a copy of the driver's license (U.S. individuals only) or other identification document (passport or similar identification document in the case of a foreign individual) for each beneficial owner and for the authorized individual with significant management responsibility as identified in this form.

Legal Entity Information (If you are unable to complete this form electronically, please print legibly in blue or black ink.)

Entity Name			
Entity Street Address	City	State	Zip code
Legal Name of Individual Establishing Enterprise Bank Relationship		Title of Individual Establishing Enterprise Bank Relationship	

Beneficial Owners

Identify each individual who owns—directly or indirectly through any agreement, arrangement, understanding, relationship, or otherwise—25% or more of the equity interests of the legal entity.

- Check this box if no individual owns 25% or more of the legal entity and that you will inform Enterprise Bank if/when an individual assumes 25% or more ownership
- Beneficial Owner 1** Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name			
Street Address			
City	State	Zip code	Country
Social Security Number		Date of Birth	
ID Type / Number	ID Issued By	ID Issue Date	ID Expiration Date

- Beneficial Owner 2** Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name			
Street Address			
City	State	Zip code	Country
Social Security Number		Date of Birth	
ID Type / Number	ID Issued By	ID Issue Date	ID Expiration Date

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Beneficial Owner 3 Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name			
Street Address			
City	State	Zip code	Country
Social Security Number		Date of Birth	
ID Type / Number	ID Issued By	ID Issue Date	ID Expiration Date

Beneficial Owner 4 Check this box if this owner is also the authorized individual with significant management responsibility.

Individual Legal Name			
Street Address			
City	State	Zip code	Country
Social Security Number		Date of Birth	
ID Type / Number	ID Issued By	ID Issue Date	ID Expiration Date

Authorized Individual with Significant Management Responsibility (Control Person)

Provide information for one individual with significant responsibility for managing the legal entity (ex: CEO, CFO, managing member, general partner, president, treasurer, etc.). **If this individual is noted as an owner above, only the name and title are required.**

Individual Legal Name	Position Title		
Street Address			
City	State	Zip code	Country
Social Security Number		Date of Birth	
ID Type / Number	ID Issued By	ID Issue Date	ID Expiration Date

Certification

I, _____ hereby certify to the best of my knowledge that the information provided above is complete and correct. In addition, I agree to notify Enterprise Bank of any change in Beneficial Owner or Control Person information listed above.

Primary Applicant

Date